



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Syken et al.

Group Art Unit: 1632

Serial No.: 09/908,992

Examiner: Li, Q.

Filing Date: July 19, 2001

Attorney Docket No.: HMV-054.01

For: Methods and Reagents to Regulate Apoptosis

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22312-1450

Declaration of J. Syken and K. Münger/under 37 C.F.R. § 1.132

Sir:

- 1. We, Joshua Syken, a citizen of the United States, residing at 19 Goldsmith Street,
 Jamaica Plain, Massachusetts and Karl Münger, a citizen of the Switzerland, residing at
 33 Goddard Street, Newton, Massachusetts, hereby declare as follows:
- 2. I, Joshua Syken, Ph.D., am a Postdoctoral fellow, Department of Neurobiology, Harvard Medical School, Boston, Massachusetts. A copy of my curriculum vitae and list of publications are attached hereto as Exhibit A.
- 3. I, Karl Münger, Ph.D., am an Associate Professor, Department of Pathology, Harvard Medical School, Boston, Massachusetts. A copy of my resume and list of publications are attached hereto as Exhibit B.
- 4. We are co-inventors in the above-referenced patent application.
- 5. We understand that the publication Syken et al. (Proc. Natl. Acad. Sci. U.S.A. 96:8499-8504 (1999)) was cited against the above-referenced patent application.
- 6. We are the sole inventors of the experimental work described in Syken et al, supra.
- 7. Dr. Tali De-Medina, Ph.D., coauthor of Syken et al., *supra*, is not a co-inventor in the above-referenced application. Dr. De-Medina performed experiments confirming our initial observation that Tid1S localized to the mitochondria. Accordingly, Dr. De-Medina did not contribute to our invention as set forth in this patent application.
- 8. We declare that all statements made herein of our knowledge are true and that all statements made on information and belief are believed to be true; and further, that these

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statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this Application for Patent or any patent issuing thereon.

Joshua Syken, Ph.D.

Da

Karl Münger, Ph.D.

Date

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Josh Syken, Ph.D. 19 Goldsmith St. Jamaica Plain, MA 02130

Education/Research Experience

1992-1995

B.S., Purchase College, Purchase NY

Advisor: Dr. Elysse Craddock

1995-1999

Ph.D., Harvard Medical School

Advisor: Dr. Karl Munger

Thesis: TID1 Encodes Two Mitochondrial Modulators of Apoptosis with Opposing

Functions

2000-Present
Postdoctoral fellow
Harvard Medical School
Advisor: Dr. Carla Shatz

Awards and Honors

1992 - CRC Chemistry Award, Purchase College

1992-1995 - William D. Schlutow Scholarship for Excellence in Biology,

Purchase College.

1995 - Purchase College Presidents Achievement Award

1995 - Suma Cum Laude, Purchase College

1997-1999 - Ryan Fellow, Harvard Medical School

2000-Present - NIH NRSA Postdoctoral Fellowship

Publications

Schilling B, De-Medina T, Syken J, Vidal M, Munger K.1998. A novel human DnaJ protein, hTid-1, a homolog of the Drosophila tumor suppressor protein Tid56, can interact with the human papillomavirus type 16 E7 oncoprotein. Virology. 1998 247(1):74-85

Syken J, De-Medina T, Munger K., 1999

TID1, a human homolog of the Drosophila tumor suppressor I(2)tid, encodes two mitochondrial modulators of apoptosis with opposing functions. Proc Natl Acad Sci U S A. 96(15):8499-504

Syken, J., Macian, F., Agarwal, S., Rao, A., Munger, K., 2003. TID1, a Mammalian Homolog of the Drosophila Tumor Suppresor lethal(2) tumorous imaginal discs Regulates Activation-Induced Cell Death in Th2 Cells. Oncogene, In Press.

CURRICULUM VITAE

PART I: General Information

DATE PREPARED:

June 5, 2003

Name:

Karl Münger

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Place of Birth:

Zürich, Switzerland

Education:

1981

1986

B.Sc. (Biochemistry), University of Zürich, Switzerland Ph. D. (Biochemistry), University of Zürich, Switzerland

Postdoctoral Training:

1986-1990

Viral Oncology, Laboratory of Tumor Virus Biology, National Cancer

Institute, NIH, Bethesda, MD

Professional Appointments:

1990-1993

Visiting Associate, Laboratory of Tumor Virus Biology, National Cancer

Institute, NIH, Bethesda, MD

1993-present

Member, Board of Tutors in Biochemical Sciences, Department of Molecular and Cellular Biology, Harvard University, Cambridge, MA.

1993 -1998

Assistant Professor, Department of Pathology, Harvard Medical School,

Boston, MA

1998-present

Associate Professor, Department of Pathology, Harvard Medical School,

Boston, MA

Other Professional Positions and Major Visiting Appointments:

1995	Consultant, WHO/IARC Working Group on Human Papillomaviruses
1995-1996	Faculty Member, Histopathobiology of Neoplasia Workshop, organized by the American Association for Cancer Research
1996	Visiting Professor, Department of Biology, University of Padua, Italy
1999	Consultant, Boston BioProducts, Inc., Ashland, MA
1999	Consultant, McKinsey and Company
2001-date	Consultant, National Toxicology Program, Evaluation of HPV as a Carcinogen
2002	Consultant, Pharmacia-Upjohn Company, Kalamazoo, MI.
2002	Faculty Member, Pathobiology of Cancer Workshop, organized by the American Association for Cancer Research
2002-present	Consultant, Arbor Vita Corporation, Sunnyvale CA

Major Committee Assignments:

1996	Temporary Member, Experimental Virology Study Section, National Institutes of Health
1996	Scientific Committee, 15th International Papillomavirus Workshop, Queensland, Australia 1996
1997	Temporary Member, Experimental Virology Study Section, National Institutes of Health
1998	Chairman, Viral Oncology Section, Program Committee, American Association for Cancer Research, Annual Meeting.
1998	Organizing Committee, International Conference on Small DNA Tumorviruses, Madison, WI.
1998	Member, NIH Special Emphasis Panel (ZRG5 EVR-01) Study Section
1998	Member, NIH Site Visit Committee for Program Project 1 P01 DE2704-01.
1998	Member, NIH Site Visit Committee for Program Project 1 P50 DC00203-15A1
1998-2001	Cancer Research Campaign (UK), Outside Reviewer
1999	Scientific Program Committee, 17th International Papillomavirus Workshop, Charleston, SC.
1999	Grant Reviewer, National Science Foundation of Austria
1998	Member, NIH Special Emphasis Panel (DE 98-008)
1999	Temporary Member, NIDCR Special Emphasis Panel
2000-2001	Review Board, NIGM/NIH Intramural Postdoctoral Fellowship Program (PRAT Fellowships)

2000, 2003	Grant Reviewer, Dutch Cancer Society.
2000	Organizing Committee, International Conference on Small DNA Tumorviruses, Madison, WI.
2000-date	Member NIDCR Special Review Panel.
2000-date	Tenure Reviewer for Tufts University (2), Case Western University, Cleveland OH, University of Massachusetts, The Hebrew University of Jerusalem (Israel)
2000-2004	Regular Member, NIH Study Section "Virology".
2001, 2003	Grant Reviewer, National Science Foundation, Austria.
2001	Grant Reviewer, Italian Association for Cancer Research
2001	Scientific Program Committee, 19th International Papillomavirus Workshop, Florianopolis, Brazil
2002	Grant Reviewer, Ohio Cancer Research Associates.
2002	Grant Reviewer, Sass Fellowship Foundation
2002, 2003	Grant Reviewer, Department of Veterans Affairs
2002	Member, NIDCD Special Review Panel 020628.
2002	Organizing Committee, International Conference on Small DNA Tumorviruses, Madison, WI.
2002	Grant Reviewer, Research Management Group, Linthicum Heights, MD
2002	Grant Reviewer, Cancer Research UK
2003	Nomination Committee, NIGM/NIH Intramural Postdoctoral Fellowship Program (PRAT Fellowships)
2003	Member, Viral Oncogenesis and Mechanisms Section of the Cellular, Molecular, and Tumor Biology Subcommittee of the Program Committee for the Annual Meeting of the American Association for Cancer Research.
2003	Member, NIH Site Visit Committee for Program Project 1 P01 CA16038-31
2004	Scientific Committee, 21st International Papillomavirus Conference

Professional Societies:

Swiss Society for Biochemistry American Society for Microbiology

American Association for Cancer Research

American Society for Investigative Pathology

Boston Cancer Research Association (Massachusetts Section of the American Association for Cancer Research), Vice President 1997-1998; President 1998-1999

International Papillomavirus Society

Community Service Related to Professional Work:

2001 Mentor, Outside Researchers Session, Excellence Through Diversity Initiative,

Doctoral Scholars Program, New England Board of Higher Education.

2003 Mentor, Minorities in Cancer Research Council of the AACR, Symposium:

"Navigating the Road to a Successful Career in Cancer Research"

Editorial Boards:

1995-present Journal of Virology

1998-present Virology

2001-present Cancer Research; Associate Editor

2001-present Cancer Biology & Therapy; Associate Editor

2003-present Molecular Cancer

Ad hoc reviewer for: Am. J. Pathol., Biochemistry, Biochem. Biophys. Acta, Br. J. Cancer.,

Cancer, Cancer Detection and Prevention, Cancer Invest., Cell, Cell Death Diff., Cell Growth Diff., Clin. Canc. Res., EMBO J., Exp. Cell Res., Gene, Genes & Development, Genes, Chromosomes and Cancer, Gynecol. Oncol., Int. J. Cancer, IUBMB Life, J. Cell Science., J. Clin. Invest., J. Clin. Oncol., J. Exp. Med, J. Immunol., J. Natl. Canc. Inst., Mol. Cell Biol., Mol. Pharmacol., Microbiol. Mol. Biol. Reviews., Nature, Nature Cell Biology, Nature Medicine, Nucl. Acids Res., Oncogene, Oncol. Res.,

Proc. Natl. Acad. Sci. USA, Science, Trends in Microbiology, Virus Res.

Awards and Honors:

1986-1988 John E. Fogarty Postdoctoral Fellowship

1989 Advanced Training Fellowship, Swiss National Science Foundation

1990 Dr. Ernst Th. Jucker Award for Experimental Cancer Research, Zürich,

Switzerland

1996-1998 Junior Faculty Research Award, American Cancer Society

1998-2000 Ludwig Scholar

Funding

Past:

1/94-12/94 Funds for Discovery; PI; "Disruption of dimer formation as a strategy to inactivate the HPV E7 oncoprotein."

1/94-12/94 Milton Fund; PI; "Protein domains governing the transformation function of the HPV E7 oncoprotein."

1/94-12/98 American Cancer Society; PI; "Characterization of cellular factors associated with

the HPV E7 protein" (#VM97; #RPG-94-011-04-VM).

Council for Tobacco Research; PI; "Viral oncoproteins as probes to study cellular growth regulation" (#3859).
American Cancer Society; PI; "The role of HPV E7 in cervical carcinogenesis" (JFRA-597)
National Institutes of Health; PI; "The role of basic helix-loop-helix (bHLH) proteins in epithelial cell proliferation and differentiation"; Pilot and Feasibility Project Grant; P30 AR42689 (T.S. Kupper, PI).
GLAXO-WELLCOME Co., PI; "Alteration of Cellular Signal Transduction Pathways by the Human Papillomavirus E7 Oncoprotein"; Industry-sponsored Research Grant.
Hoechst Marion Roussel Co., "Modulation of TID1 During T-Cell Activation: Implications for Activation Induced Cell Death" Industry sponsored Research Grant.
Project leader; National Institutes of Health; "Cell cycle dysregulation in oral cancer"; Program Project Grant P01 DE012467 (David T. Wong, PI).
Principal Investigator: National Cancer Institute/National Institutes of Health; "Biological activity of HPV E7 in human epithelial cells" (R01 CA66980).
Principal Investigator: National Cancer Institute/National Institutes of Health; "Biological activity of HPV E7 in human epithelial cells"- Competitive Supplement (3R01CA066980-7S1)
Principal Investigator: National Cancer Institute/National Institutes of Health; "Modulation of Host Cell Apoptotic Responses by HPV E7" (R01 CA81135).
Project Co-investigator; National Heart, Lung, and Blood Institute/National Institutes of Health; "Adhesion molecules in transfusion biology" Program Project Grant 2P01 HL56949 (Denisa Wagner, P.I.).
Co-investigator: Stewart Trust; "Identification of cellular markers of human cervical preneoplasia by Serial Analysis of Gene Expression (SAGE)"
Principal Investigator: Astra-Zeneca; "Tripeptidyl transferase II and c-myc induced centrosome mediated genomic instability"
Co-Principal Investigator: CYTYC Corporation; "Cellular markers of cervical neoplasia
Project Co-investigator: National Cancer Institute/National Institutes of Health: "Spectroscopic imaging and diagnosis of neoplasia" Bioengineering Research Partnership CA097966-01; (Michael Feld, MIT, P.I.)

Bibliography

Original Articles:

- 1. Münger K, Lerch K, Tschierpe HJ. Metal accumulation in Agaricus bisporus: influence of Cd and Cu on growth and tyrosinase Activity. Experientia 38:1039-1041, 1982.
- 2. Münger K, Germann UA, Beltramini M, Niedermann D, Baitella-Eberle G, Kägi JHR, Lerch K. (Cu/Zn) Metallothioneins from fetal bovine liver: chemical and spectroscopic properties. J. Biol. Chem. 260:10032-10038, 1985.
- 3. Münger K, Germann UA, Lerch K. Isolation and structural organization of the Neurospora crassa copper metallothionein gene. EMBO J. 4:2665-2668, 1985.
- 4. Münger K, Lerch K. Copper metallothionein from the fungus Agaricus bisporus: chemical and spectroscopic properties. Biochemistry 24:6751-6756, 1985.
- 5. Münger K, Germann UA, Lerch K: The Neurospora crassa copper metallothionein gene: regulation of expression and chromosomal location. J. Biol. Chem. 262:7363-7367, 1987.
- 6. Moser R, Frey S, Münger K, Hehlgans T, Klauser S, Langen H, Winnacker E-L, Mertz R, Gutte B. Expression of the synthetic gene of an artificial DDT-binding polypeptide in E. coli. Protein Engineering 1:339-347, 1987.
- 7. Münger K, Lerch K. Peptide mapping of vertebrate and invertebrate metallothioneins. Inorganica Chimica Acta 151:11-13, 1988.
- 8. Phelps WC, Yee CL, Münger K, Howley PM. The human papillomavirus type 16 E7 gene encodes transactivation and transformation functions similar to adenovirus E1a. Cell 53:339-347, 1988.
- 9. Beltramini M, Giacometti GM, Salvato B, Giacometti G, Münger K, Lerch K. Luminscence emission from Neurospora copper metallothionein: Time-resolved Studies. Biochem. J. 260:189-193, 1989.
- 10. Dyson N, Howley PM, Münger K, Harlow, E. The human papillomavirus type 16 E7 oncoprotein is able to bind the retinoblastoma gene product. Science 243:934-937, 1989.
- 11. Münger K, Phelps WC, Bubb V, Howley PM, Schlegel R. The E6 and E7 genes of the human papillomavirus type 16 together are necessary and sufficient for transformation of primary human keratinocytes. J. Virol. 63:4417-4421, 1989.
- 12. Münger K, Werness BA, Dyson N, Phelps WC, Harlow E, Howley PM. Complex formation of human papillomavirus E7 proteins with the retinoblastoma tumor suppressor gene product. EMBO J. 8:4099-4105, 1989.
- 13. Pietenpol JA, Stein RW, Moran E, Yaciuk P, Schlegel R, Lyons RM, Pittelkow MR, Münger K, Howley PM, Moses HL. TGFß1 inhibition of c-myc transcription and growth in keratinocytes is abrogated by viral transforming proteins with pRB binding domains. Cell 61:777-785, 1990.
- 14. Münger K, Yee CL, Phelps WC, Pietenpol JA, Moses HL, Howley PM. Biochemical and biological differences between E7 oncoproteins of the high and low risk HPVs are determined by amino terminal sequences. J. Virol. 65:3943-3948, 1991.

- 15. Scheffner M, Münger K, Byrne JC, Howley PM. The state of the p53 and retinoblastoma genes in human cervical carcinoma cell lines. Proc. Natl. Acad. Sci. USA 88:5523-5527, 1991.
- 16. Phelps WC, Bagchi S, Barnes J, Raychaudhuri P, Krause V, Münger K, Howley PM, Nevins JR. Analysis of trans-activation by HPV-16 E7 and adenovirus 12S E1A suggests a common mechanism. J. Virol. 65:6922-6930, 1991.
- 17. Pietenpol JA, Münger K, Howley PM, Stein RW, Moses HL. A factor-binding element in the human c-myc promoter involved in transcriptional regulation by transforming growth factor β-1 and by the retinoblastoma gene product. Proc. Natl. Acad. Sci. USA 88:10227-10231, 1991.
- 18. Phelps WC, Münger K, Yee CL, Barnes JA, Howley PM. Structure-function analysis of the HPV16 E7 oncoprotein. J. Virol. 66:2418-2427, 1992.
- 19. Münger K, Pietenpol JA, Pittelkow MR, Holt JT, Moses HL. Transforming growth factor ß1 regulation of c-myc expression, pRB phosphorylation, and cell cycle progression in keratinocytes. Cell Growth & Differentiation 3:291-298, 1992.
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- 21. Heck DV, Yee CL, Howley PM, Münger K. Efficiency of binding the retinoblastoma protein correlates with the transforming capacity of the E7 oncoproteins of the human papillomaviruses. Proc. Natl. Acad. Sci. USA 89:4442-4446, 1992.
- 22. Scheffner M, Münger K, Huibregtse JM, Howley PM. Targeted degradation of the retinoblastoma protein by a fusion of the human papillomavirus E6 and E7 oncoproteins. EMBO J. 11:2425-2431, 1992.
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- 24. Wu EW, Clemens KE, Heck DV, Münger K. The human papillomavirus E7 oncoprotein and the cellular transcription factor E2F bind to separate sites on the retinoblastoma tumor suppressor protein. J. Virol. 67:2402-2407, 1993.
- 25. Arbeit JM, Münger K, Howley PM, Hanahan D. Neuroepithelial carcinomas in mice transgenic with human papillomavirus Type 16 E6/E7 ORFs. Am. J. Pathol. 142:1187 1197, 1993.
- 26. Arbeit JM, Münger K, Howley PM, Hanahan D. Progressive squamous epithelial neoplasia in K14-HPV16 transgenic mice. J. Virol. 68:4358-4368, 1994.
- 27. Brokaw JL, Yee CL, Münger K. A mutational analysis of the amino terminal domain of the human papillomavirus type 16 E7 oncoprotein. Virology 205:603-607, 1994.
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- 29. Timmermann S, Hinds P, Münger K. Elevated activity of cyclin-dependent kinase 6 in oral carcinomas. Cell Growth & Differentiation 8:361-370, 1997.
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- 31. Oettgen P, Alani RM, Barcinski M, Akbarali Y, Boltax J, Brown L, Kunsch C, Münger K, Liberman TA. Isolation and characterization of a novel epithelial-specific transcription factor, ESE-1, a member of the ets family. Mol. Cell. Biol. 17:4419-4433, 1997.
- 32. Jones DL, Alani RM, Münger, K. The human papillomavirus E7 oncoprotein can uncouple cellular differentiation and proliferation in human keratinocytes by abrogating p21Cip1-mediated inhibition of cdk2. Genes & Development 11:2101-2111, 1997.
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- 35. Jones DL, Thompson, DA, Münger K. Destabilization of the pRB tumor suppressor protein and stabilization of p53 contribute to HPV type 16-induced apoptosis. Virology 239:97-107, 1997.
- 36. Schilling B, De-Medina T, Syken J, Vidal M, Münger K. A novel human DnaJ protein, hTid-1, a homolog of the Drosophila tumor suppressor protein Tid56, can interact with the human papillomavirus type 16 E7 oncoprotein. Virology 247:74-85, 1998.
- 37. Alani RM, Hasskarl J, Münger K. Alterations of cyclin-dependent kinase 2 function during differentiation of primary human keratinocytes. Molecular Carcinogenesis 23:226-233, 1998.
- 38. Timmermann S, Hinds PH, Münger K. Reexpression of endogenous p16ink4a in oral squamous cell carcinoma lines by 5-aza-2'-deoxycytidine induces a senescence-like state. Oncogene 17:3445-3453, 1998.
- 39. Jones DL, Thompson DA, Suh-Bürgmann E, Grace M, Münger K. Expression of the HPV E7 oncoprotein mimics but does not evoke a p53-dependent DNA damage response pathway. Virology, 258:406-414, 1999.
- 40. Syken J, De-Medina T, Münger K. hTid-1, the human homolog of the Drosophila tumor suppressor Tid56, is a mitochondrial regulator of apoptosis. Proc. Natl. Acad. Sci. USA 96:8499-8506, 1999.
- 41. Alani RM, Hasskarl J, Grace M, Hernandez MC, Israel MA, Münger K. Immortalization of primary human keratinocytes by the helix-loop-helix protein, Id1. Proc. Natl. Acad. Sci. USA 96:9637-9641, 1999.
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- ESE-1-related ets transcription factor that is restricted to glandular epithelium and differentiated keratinocytes. J. Biol. Chem. 274:29439-29452, 1999.
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- 46. Thompson DA, Zacny V, Belinsky GS, Classon M, Jones DL, Schlegel R, Münger K: The HPV E7 oncoprotein abrogates Tumor Necrosis Factor-a-mediated pro-caspase 8 activation and apoptosis in normal human fibroblasts, Oncogene 20:3629-3640, 2001
- 47. Basile JR, Zacny V, Münger K: The cytokines TNF-a and TRAIL differentially modulate proliferation and apoptotic pathways in human keratinocytes expressing the HPV-16 E7 oncoprotein, J. Biol. Chem. 276:22522-22528, 2001.
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- 50. Duensing S, Duensing A, Flores ER, Do A, Lambert PF, Münger K. Centrosome abnormalities and genomic instability by episomal expression of human papillomavirus type 16 in raft cultures of human keratinocytes, J. Virol. 75:7712-7716, 2001
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- 54. Duensing S, Münger K: The human papillomavirus type 16 E6 and E7 oncoproteins independently induce numerical and structural chromosome instability, Cancer Research 62:7075-7082, 2002
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- 58. Syken J, Macian F, Agarwal S, Rao, A, Münger, K: TID1, a Mammalian Homolog of the Drosophila Tumor Suppressor lethal(2) tumorous imaginal discs Regulates Activation-Induced Cell Death in Th2 Cells, Oncogene 22: in press 2003.
- 59. Kleine-Lowinsky K, Rheinwald JG, Fichorova RN, Anderson DJ, Basile JR, Münger K, Daly CM, Rösl F, Rollins BJ: Selective suppression of monocyte chemoattractant protein-1 (MCP-1) expression by human papillomavirus E6 and E7 oncoproteins in human cervical epithelial and epidermal cells, Int. J. Cancer 106: in press 2003
- 60. Riley R, Duensing S, Brake T, Münger K, Lambert PF, Arbeit JM: Dissection of human papillomavirus E6 and E7 function in transgenic mouse models of cervical carcinogenesis, submitted
- Basile JR, Zacny V, Eichten A, Münger K: TRAIL/APO2L signaling in primary human keratinocytes does not involve rapid anti-apoptotic NF-kB activation, submitted
- 62. Balsitis SJ, Sage J, Duensing S, Munger K, Jacks T, Lambert PF. Recapitulation of the effects of HPV-16 E7 oncogene on mouse epithelium by somatic Rb deletion and detection of pRb-independent effects of E7 in vivo, submitted

Proceedings of Meetings:

- 1. Münger K, Germann UA, Lerch K. Isolation and Regulation of Expression of the Neurospora crassa Copper Metallothionein Gene. Experientia Suppl. 52:393-400, 1987.
- 2. Beltramini M, Münger K, Germann UA, Lerch K. Luminescence emission from the Cu(I) thiolate complex in metallothioneins. Experientia Suppl. 52:237-242, 1987.
- 3. Münger K. Germann UA, Beltramini M, Kupper U, Lerch K. Neurospora Copper Metallothionein: Molecular Structure and Gene Expression. UCLA Symp. Mol. Cell. Biol. 98:227-235, 1989.
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- 6. Cowsert LM, Münger K, Howley PM, Baker CC. The E6-E7 region of HPV-16 is sufficient for altered differentiation of human keratinocytes. UCLA Symp. Mol. Cell. Biol. 124:265-270, 1990.
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